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Guidelines for the

MONITORING AND APPRAISAL OF
SARVA SHIKSHA ABHIYAN
IN UTTAR PRADESH

Sponsored By:

Ministry of Human Resource Development
Government of India

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Sample Design and Work Plan

1. Number of Blocks from each district-----4
2. Number of Sample Primary Schools from each Block-----8=32
3. Number of Sample Upper Primary Schools from each Block---4=16
4. Alternative Schools-----I from each block----=4
- *Total Number of Sample Schools in District* =52

5. DITE-----1

District/Block Information: Part-I

Table-1 : Block-wise Information Regarding Sanctioned Schools in District (Upto 2004-05)

[illegible]

Sample check to be done in the field.

Table-2: Brief Profile of the District

Number of Tehsils	
Number of Blocks	
Number of Nyaya Panchayats	
Number of Revenue Villages	
Total Geographical Area (Sq. Km.)	
Population (Census 2001)	
Rural	
Urban	
Total	
SC/ST	

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-3: Literacy Percentage of the District, U.P. and India (2001)

Item	District	Uttar Pradesh	India
Male			
Female			
Total			

Source: Census, 2001.

**Table-4: Details About Schools, Teachers And Enrolment
(Parishad Schools of the Districts)**

Type of School	No. of Schools	Total Enrolment	Sanctioned Posts of Teachers	Actual Strength	No. of Shiksha Mitra
Primary					
Jr. Basic					
Total					

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-5 : Details of Alternative Schooling in the District

Sl.	Model	Target	Achievement	Enrolment	Remarks
1.	E.G.S. (i) Vidya Centre (ii) Rishi Valley (iii) Maktab				
2.	Non-residential Bridge courses				
3.	Residential Bridge Courses				
4.	AIE Junior Basic				
5.	Any other Courses				
	Total				

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-6: Detail of Children, Based on Household Survey (2004)

Age Group wise No. of Children	Actual No. As per Household Survey	Children* Enrolled as on June 30, 2004	Out of School Children as on June 30, 2004	Out of School Children as on Sept 30, 2004	Percentage of Non-enrolled Children as on June 30, 2004	Percentage of Non-enrolled Children as on Sept 30, 2004
6-11 years						
Boys						
Girls						
Total						
11-14 years						
Boys						
Girls						
Total						
6-14 years						
Boys						
Girls						
Total						

*Enrolment includes total enrolment in Parishad as well as non-parishad schools.

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-7: Details of Handicapped Children in the District During 2004-2005

Sl.	Disability	Boys		Girls		Total
		6-11 years	11-14 ears	6-11 years	11-14 years	
1.	Eye Problem					
2.	Hearing Impaired					
3.	Speaking Problem					
4.	Learning Disability					
5.	Mentally Retarded					
6.	Physical Disability					
7.	Others					
	Total					

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-8: Details of Handicapped Children in Block-1 during 2004-2005

Sl.	Disability	Boys		Girls		Total
		6-11 years	11-14 years	6-11 years	11-14 years	
1.	Eye Problem					
2.	Hearing Impaired					
3.	Speaking Problem					
4.	Learning Disability					
5.	Mentally Retarded					
6.	Physical Disability					
7.	Others					
	Total					

Source: Office of the Basic Shiksha Adhikari (BSA), Bahraich District.

Table-9: Details of Handicapped Children in Block-2 during 2004-2005

Sl.	Disability	Boys		Girls		Total
		6-11 years	11-14 years	6-11 years	11-14 years	
1.	Eye Problem					
2.	Hearing Impaired					
3.	Speaking Problem					
4.	Learning Disability					
5.	Mentally Retarded					
6.	Physical Disability					
7.	Others					
	Total					

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-10: Details of Handicapped Children in Block-3 during 2004-2005

Sl.	Disability	Boys		Girls		Total
		6-11 years	11-14 years	6-11 years	11-14 years	
1.	Eye Problem					
2.	Hearing Impaired					
3.	Speaking Problem					
4.	Learning Disability					
5.	Mentally Retarded					
6.	Physical Disability					
7.	Others					
	Total					

Source: Office of the Basic Shiksha Adhikari (BSA).

Table-11: Details of Handicapped Children in Block-4 during 2004-2005

Sl.	Disability	Boys		Girls		Total
		6-11 years	11-14 years	6-11 years	11-14 years	
1.	Eye Problem					
2.	Hearing Impaired					
3.	Speaking Problem					
4.	Learning Disability					
5.	Mentally Retarded					
6.	Physical Disability					
7.	Others					
	Total					

Source: Office of the Basic Shiksha Adhikari (BSA), Bahraich District.

Table-12: Information Related to Selected BRCs Under SSA Programme

Information	BRC-1	BRC-2	BRC-3	BRC-4
Primary School				
(i) Number of Schools				
(ii) Head Master				
(iii) Assistant Teachers				
(iv) Shiksha Mitra				
(v) Total Teachers				
(vi) Enrolment Total				
Boys				
Girls				
(vii) School Grading A				
B				
C				
Upper Primary Schools				
(i) Number of Schools				
(ii) Number of Head Masters				
(iii) Number of Teachers				
(iv) Total Teachers				
(v) Enrolment Total				
Boys				
Girls				

Source: Office of the Basic Shiksha Adhikari (BSA), Bahraich District.

Information from Sample Schools: Part-II

Table-1: Details of Primary Schools from Sample Block-1

Details	1	2	3	4	5	6	7	8
Distance from Shrawasti (Head Quarter Bhinga in Km.)								
Date of our visit								
Number of regular teachers								
Number of Shiksha Mitra								
Total Students								
Boys								
Girls								
Students present on day of visit								
% of students present								
Average Normal Attendance (%)								
Number of class rooms								
Head Master's room								
Verandah								
Store								
Toilets								
Hand pump								
Hand pump Working								
Boundary Wall								
Building Properly White Washed								
Teacher-Pupil ratio								
Number of handicapped Children								
School grading								
Grant received-Rs.5000								
Rs.2000								
Rs.500 per Teacher for TLM								
General Performance of students (Use Code)*								

Source: Sample Schools of the District.

*1. Good, 2. Average, 3. Below Average.

Information from Sample Schools: Part-II

Table-1: Details of Primary Schools from Sample Block-1

Details	1	2	3	4	5	6	7	8
Distance from Shrawasti (Head Quarter Bhinga in Km.)								
Date of our visit								
Number of regular teachers								
Number of Shiksha Mitra								
Total Students								
Boys								
Girls								
Students present on day of visit								
% of students present								
Average Normal Attendance (%)								
Number of class rooms								
Head Master's room								
Verandah								
Store								
Toilets								
Hand pump								
Hand pump Working								
Boundary Wall								
Building Properly White Washed								
Teacher-Pupil ratio								
Number of handicapped Children								
School grading								
Grant received-Rs.5000								
Rs.2000								
Rs.500 per Teacher for TLM								
General Performance of students (Use Code)*								

Source: Sample Schools of the District.

*1. Good, 2. Average, 3. Below Average.

Table-2: Details of Primary Schools from sample Block-2

Details	1	2	3	4	5	6	7	8
Distance from Shrawasti (Head Quarter Bhinga in Km.)								
Date of our visit								
Number of regular teachers								
Number of Shiksha Mitra								
Total Students								
Boys								
Girls								
Students present on day of visit								
% of students present								
Average Normal Attendance (%)								
Number of class rooms								
Head Master's room								
Verandah								
Store								
Toilets								
Hand pump								
Hand pump working								
Boundary Wall								
Building Properly White Washed								
Teacher-Pupil ratio								
Number of handicapped Children								
School grading								
Grant received-Rs.5000 Rs.2000								
Rs.500 per Teacher for TLM								
General Performance of Student (Use Code)*								

Source: Sample Schools of the District.

*1. Good, 2. Average, 3. Below Average.

Table-3: Details of Primary Schools from sample Block-3

Details	1	2	3	4	5	6	7	8
Distance from Shrawasti (Head Quarter Bhinga in Km.)								
Date of our visit								
Number of regular teachers								
Number of Shiksha Mitra								
Total Students								
Boys								
Girls								
Students present on day of visit								
% of students present								
Average Normal Attendance (%)								
Number of class rooms								
Head Master's room								
Verandah								
Store								
Toilets								
Hand pump								
Hand pump working								
Boundary Wall								
Building Properly White Washed								
Teacher-Pupil ratio								
Number of handicapped Children								
School grading								
Grant received-Rs.5000 Rs.2000								
Rs.500 per Teacher for TLM								
General Performance of Student (Use Code)*								

Source: Sample Schools of the District.

*1. Good, 2. Average, 3. Below Average.

Table-4: Details of Primary Schools from sample Block-4

Details	1	2	3	4	5	6	7	8
Distance from Shrawasti (Head Quarter Bhinga in Km.)								
Date of our visit								
Number of regular teachers								
Number of Shiksha Mitra								
Total Students								
Boys								
Girls								
Students present on day of visit								
% of students present								
Average Normal Attendance (%)								
Number of class rooms								
Head Master's room								
Verandah								
Store								
Toilets								
Hand pump								
Hand pump working								
Boundary Wall								
Building Properly White Washed								
Teacher-Pupil ratio								
Number of handicapped children								
School grading								
Grant received-Rs.5000 Rs.2000								
Rs.500 per Teacher for TLM								
General Performance of Student (Use Code)*								

Source: Sample Schools of the District.

*1. Good, 2. Average, 3. Below Average.

Table-5: Class wise Break-up of Students in Primary Schools of Block-1

Class	Sex	1	2	3	4	5	6	7	8
I	Boys								
	Girls								
	Total								
II	Boys								
	Girls								
	Total								
III	Boys								
	Girls								
	Total								
IV	Boys								
	Girls								
	Total								
V	Boys								
	Girls								
	Total								
I to V	Boys								
	Girls								
	Total								

Source: Sample Schools.

Table-6: Class wise Break-up of Students in Primary Schools of Block-2

Class	Sex	1	2	3	4	5	6	7	8
I	Boys								
	Girls								
	Total								
II	Boys								
	Girls								
	Total								
III	Boys								
	Girls								
	Total								
IV	Boys								
	Girls								
	Total								
V	Boys								
	Girls								
	Total								
I to V	Boys								
	Girls								
	Total								

Source: Sample Schools.

Table-7: Class wise Break-up of Students in Primary Schools of Block-3

Class	Sex	1	2	3	4	5	6	7	8
I	Boys								
	Girls								
	Total								
II	Boys								
	Girls								
	Total								
III	Boys								
	Girls								
	Total								
IV	Boys								
	Girls								
	Total								
V	Boys								
	Girls								
	Total								
I to V	Boys								
	Girls								
	Total								

Source: Sample Schools.

Table-8: Class wise Break-up of Students in Primary Schools of Block-4

Class	Sex	1	2	3	4	5	6	7	8
I	Boys								
	Girls								
	Total								
II	Boys								
	Girls								
	Total								
III	Boys								
	Girls								
	Total								
IV	Boys								
	Girls								
	Total								
V	Boys								
	Girls								
	Total								
I to V	Boys								
	Girls								
	Total								

Source: Sample Schools.

Table-9: Detail of Upper Primary Schools from sample Block-1

Details	Upper Primary School-1	Upper Primary School-2	Upper Primary School-3	Upper Primary School-4
Distance from Shrawasti (Head Quarter Bhinga in Km.)				
Date of our visit				
Number of regular teachers				
Students in class vi				
vii				
viii				
Total Students				
Actual Present on day of visit				
Attendance %				
Normal Attendance %				
Teacher-student ratio				
Number of class rooms				
Head master's room				
Verandah				
Store				
Toilets				
Hand pump				
Hand pump working				
Boundary Wall				
Building Properly White washed				
Number of Handicapped Children				
General Performance of Students (Use Code)*				

Source: Sample Schools.

*1. Good, 2. Average, 3. Below Average.

Table-10: Detail of Upper Primary Schools from sample Block-2

Details	Upper Primary School-1	Upper Primary School-2	Upper Primary School-3	Upper Primary School-4
Distance from Shrawasti (Head Quarter Bhinga in Km.)				
Date of our visit				
Number of regular teachers				
Students in class vi vii viii				
Total Students				
Actual Present on day of visit				
Attendance %				
Normal Attendance %				
Teacher-student ratio				
Number of class rooms				
Head master's room				
Verandah				
Store				
Toilets				
Hand pump				
Hand pump working				
Boundary Wall				
Building Properly White washed				
Number of Handicapped Children				
General Performance of Students (Use Code)*	r			

Source: Sample Schools.

*1. Good, 2. Average, 3. Below Average.

Table-11: Detail of Upper Primary Schools from sample Block-3

Details	Upper Primary School-1	Upper Primary School-2	Upper Primary School-3	Upper Primary School-4
Distance from Shrawasti (Head Quarter Bhinga in Km.)				
Date of our visit				
Number of regular teachers				
Students in class vi vii viii				
Total Students				
Actual Present on day of visit				
Attendance %				
Normal Attendance %				
Teacher-student ratio				
Number of class rooms				
Head master's room				
Verandah				
Store				
Toilets				
Hand pump				
Hand pump working				
Boundary Wall				
Building Properly White washed				
Number of Handicapped Children				
General Performance of Students (Use Code)*				

Source: Sample Schools.

*1. Good, 2. Average, 3. Below Average.

Table-12: Detail of Upper Primary Schools from sample Block-4

Details	Upper Primary School-1	Upper Primary School-2	Upper Primary School-3	Upper Primary School-4
Distance from Shrawasti (Head Quarter Bhinga in Km.)				
Date of our visit				
Number of regular teachers				
Students in class vi				
vii				
viii				
Total Students				
Actual Present on day of visit				
Attendance %				
Normal Attendance %				
Teacher-student ratio				
Number of class rooms				
Head master's room				
Verandah				
Store				
Toilets				
Hand pump				
Hand pump working				
Boundary Wall				
Building Properly White washed				
Number of Handicapped Children				
General Performance of Students (Use Code)*				

Source: Sample Schools.

*1. Good, 2. Average, 3. Below Average.

Table-13: Class-wise Break-up of Students in Upper Primary Schools Block-1

Class	Sex	1	2	3	4
VI	Boys				
	Girls				
	Total				
VII	Boys				
	Girls				
	Total				
VIII	Boys				
	Girls				
	Total				
VI to VIII	Boys				
	Girls				
	Total				

Table-14: Class-wise Break-up of Students in Upper Primary Schools Block-2

Class	Sex	1	2	3	4
VI	Boys				
	Girls				
	Total				
VII	Boys				
	Girls				
	Total				
VIII	Boys				
	Girls				
	Total				
VI to VIII	Boys				
	Girls				
	Total				

Table-15: Class-wise Break-up of Students in Upper Primary Schools Block-3

Class	Sex	1	2	3	4
VI	Boys				
	Girls				
	Total				
VII	Boys				
	Girls				
	Total				
VIII	Boys				
	Girls				
	Total				
VI to VIII	Boys				
	Girls				
	Total				

Table-16: Class-wise Break-up of Students in Upper Primary Schools Block-4

Class	Sex	1	2	3	4
VI	Boys				
	Girls				
	Total				
VII	Boys				
	Girls				
	Total				
VIII	Boys				
	Girls				
	Total				
VI to VIII	Boys				
	Girls				
	Total				

17. How many EGS/AIE centres are sanctioned in the dist _____
 Have all sanctioned EGS/AIE centres become functional Yes ☐ No ☐
 If No, percentage ye to be made functional _____
 [Sample check of functional EGS/AIE Centres)
18. Have CWSN been identified in adequate numbers? Yes ☐ No ☐
 What process was followed? _____
19. How many CWSN have been enrolled in the district _____
 How many CWSN have been enrolled in 4 selected Blocks:
 Block 1: _____
 Block 2: _____
 Block 3: _____
 Block 4: _____
20. Have they provided suitable additional support? Yes ☐ No ☐
 How many children at district level? _____
21. Sanctioned post of teachers have been created and filled Yes ☐ No ☐
 If No, interim progress to be given _____
22. Teachers training programmes have been organized? Yes ☐ No ☐
 If in process, interim progress to be given _____
23. Have NPEGEL interventions been taken up? Yes ☐ No ☐
 If yes, with what results _____
 Is he Model Cluster School functional, properly staffed
 and provided requisite support? Yes ☐ No ☐

24. **DISTRICT INFORMATION SOURCE FOR EDUCATION (DISE)**

- 24.1 Have Educational Management Information System (EMIS) been set up at state and district levels? Yes ☐ No ☐
- 24.2 What is their level of staffing _____
- 24.3 Are Data Capture Formats (DCF) available in adequate numbers to teachers by August for data collection? Yes ☐ No ☐
- 24.4 What have been the constraints, if any? _____
- 24.5 Personnel concerned have been imparted necessary training in collection and analysis of data and use of DCFs? Yes ☐ No ☐
- 24.6 Data pertaining to 30th September is submitted to the State level within a month thereof? Yes ☐ No ☐
- 24.7 Sample verification of captured data is being carried out on regular basis? Yes ☐ No ☐
25. Have free text-books been distributed within a week of commencement of academic session? Yes ☐ No ☐
- If answer is No, the actual time gap Days _____
26. Were school grants received by school/VEC within 2 months of commencement of academic session? Yes ☐ No ☐
- If answer is No, the actual time gap Days _____
27. What has been done with last yea's school grants: Fully utilized ☐ partially utilized? ☐

How were they utilized?

Items	Amount Sanctioned	Amount Spent	Balance Amount

28. Are attendance, progress and other records for pupils properly maintained class-wise? Yes ☐ No ☐
29. Are cooked meal being served under the Mid-Day Meal programme? Yes ☐ No ☐
 What arrangements have been put in place to ensure service of clean, hygienic food? _____
 What role, if any, does the teacher play in supervision of the scheme? _____
30. Whether sanctioned civil work have been commenced and Completed to satisfactory standards? Yes ☐ No ☐
 Sample check _____

STRUCTURES AND PROCESSES

31. How many times have General Council (GC) and Education Council of SIS met during last one year? Numbers _____
32. Have village/school level management bodies been constituted for all villages/schools? Yes ☐ No ☐
33. What is the frequency of their meetings? _____
34. Do these bodies meet regularly and as required? Yes ☐ No ☐
35. Have necessary powers been delegated to these bodies? Yes ☐ No ☐
36. Have all Village Education Committee (VEC)/Parents-Teachers Association (PTA)/School Development Management Committee members been oriented? Yes ☐ No ☐
 If No, Percentage of members oriented? _____
37. Do women and SC/ST members of these bodies participate regularly in their meetings? Yes ☐ No ☐
38. Has a Village Education Committee (VEC)/Parents-Teachers Association (PTA)/School Development Management Committee manual been developed and implemented? Yes ☐ No ☐
39. Are details of expenditure being reflected on the school notice board? Yes ☐ No ☐